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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/550,284	09/22/2005	Kousuke Yoshihara	278508US26PCT	1883

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OBLON, SPIVAK, MCCLELLAND MAIER & NEUSTADT, P.C.  
1940 DUKE STREET  
ALEXANDRIA, VA 22314

EXAMINER
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PAIK, SANG YEOP

ART UNIT	PAPER NUMBER
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3742

NOTIFICATION DATE	DELIVERY MODE
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06/19/2008

ELECTRONIC

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patentdocket@oblon.com  
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jgardner@oblon.com

<b>Office Action Summary</b>	<b>Application No.</b> 10/550,284	<b>Applicant(s)</b> YOSHIHARA ET AL.	
	<b>Examiner</b> Sang Y. Paik	<b>Art Unit</b> 3742	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-17 is/are pending in the application.  
4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-3 and 7-17 is/are rejected.
- 7) ☒ Claim(s) 4-6 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on \_\_\_\_ is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
  3. ☒ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. ____. |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date <u>9/22/05</u> . | 6) <input type="checkbox"/> Other: ____.  |

## **DETAILED ACTION**

### ***Drawings***

1. The drawings are objected to under 37 CFR 1.83(a). The drawings must show every feature of the invention specified in the claims. Therefore, the means for detecting the temperature of the filter must be shown or the feature(s) canceled from the claim(s). No new matter should be entered.

Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing should not be labeled as “amended.” If a drawing figure is to be canceled, the appropriate figure must be removed from the replacement sheet, and where necessary, the remaining figures must be renumbered and appropriate changes made to the brief description of the several views of the drawings for consistency. Additional replacement sheets may be necessary to show the renumbering of the remaining figures. Each drawing sheet submitted after the filing date of an application must be labeled in the top margin as either “Replacement Sheet” or “New Sheet” pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

### ***Claim Rejections - 35 USC § 102***

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

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A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

3. Claim 1 is rejected under 35 U.S.C. 102(b) as being Shirakawa et al by Shirakawa et al (US 2002/0086259).

Shirakawa shows the device claimed including a hot plate, a hot plate temperature control unit, a box having wall surfaces that defines a heat surface and a fluid space above the heat surface, air current producing means including an air supply port and suction port opened in the wall surface that defines the fluid space whereby the current of air inherently flows in a horizontal direction in the fluid space as does in the applicant device.

***Claim Rejections - 35 USC § 103***

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claims 2, 3 and 7-17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Shirakawa et al (US 2002/0086259) in view of Shirakawa et al (US 6,222,161) and Matsuyama (US 6,246,030).

Shirakawa '259 shows the device and method claimed except for control means for controlling the hot plate and the air current production means to establish  $T_f < T_h \leq T_s \leq T_p$  relationship wherein  $T_f$  represents a temperature of the fluid space,  $T_h$  for temperature of heat space,  $T_s$  for temperature of the wafer, and  $T_p$  for the temperature of hot plate.

Shirakawa '161 shows a device for baking a substrate comprising a hot plate, the hot plate with a temperature control unit including a temperature sensor for controlling the temperature of the hot plate so that the relationship  $TL < TW < TH$  is established wherein TL represents the temperature of the fluid space having a cooling jacket, TW for the temperature of a wafer, and TH for the temperature of a hot plate. Shirakawa '161 shows a plurality of temperature sensors to measure the temperatures of the hot plate, the wafer substrate and the fluid space having the cooling jacket. Shirakawa '161 further shows the cooling jacket unit having a fluid supply port and a fluid discharge port.

Matsuyama shows an air current producing means wherein the air in the heat space is set to a desired heating temperature such as that of the hot plate to maintain a desired uniform heating temperature within the processing chamber. A sensor (214) is also provided to measure the air or gas provided in the heating space.

In view of Shirakawa '161 and Matsuyama, it would have been obvious to one of ordinary skill in the art to adapt Shirakawa '259 with temperatures set at a desired range in its respective elements including the hot plate, the wafer, the heat space and the fluid space in order to effectively process a wafer therein.

With respect to claims 9-11, 15 and 17, Shirakawa '259 shows a temperature control mechanism (80) with a controller (88) to control the temperature of the cooling water, and it would have been obvious to one of ordinary skill in the art to adapt Shirakawa '259, as modified by Shirakawa '161 and Matsuyama, to further control the cooling unit with reference to the heat space temperature as Shirakawa '259 as well as Shirakawa '161 allows to feed the temperatures into a controller unit to establish a desired heating temperature, including the gas/air temperature

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being equal to the fluid space temperature and the temperature ranges recited in claim 15, to effectively to heat and bake, as a matter of a routine experimentation, for processing the wafer substrate in the processing chamber.

6. Claims 13 and 14 are rejected under 35 U.S.C. 103(a) as being unpatentable over A as applied to claims above, and further in view of Boshita (JP 2002-359175).

Shirakawa '259 in view of Shirakawa 161 and Matsuyama shows the device claimed except for a plurality of suction ports in a circumferential end portion of the fluid space.

Boshita shows a plurality of suction portion in the circumferential end portion of the fluid space, and it would have been obvious to one of ordinary skill in the art to adapt Shirakawa '259 with a plurality of suction ports as an alternative arrangement that is also known to effectively transport the air out of the chamber.

With respect to the heater as recited in claim 14, Matsuyama shows a heater provided near the suction port. and it would have been obvious to provide a heater near the suction port maintain the desired temperature while facilitating more rapid flow of the air/gas into the suction port.

***Allowable Subject Matter***

7. Claims 4-6 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Sang Y. Paik whose telephone number is 571-272-4783. The examiner can normally be reached on M-F (8:00:0-3:30).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tu Hoang can be reached on 571-272-4780. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Sang Y Paik/  
Primary Examiner, Art Unit 3742

syp